

**UT09 Rec'd PCT/PTO 24 SEP 2004**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	<b>MAIL STOP PCT</b>
	)	
Fernande Boisson et al.	)	Group Art Unit:
	)	
Application No.:	)	Examiner:
	)	
Filed:	)	Confirmation No.:
	)	
For: PROCESS FOR PREPARING	)	
FUNCTIONALIZED	)	
POLYORGANOSILOXANE RESINS	)	
BY REDISTRIBUTION IN THE	)	
PRESENCE OF AT LEAST ONE	)	
DERIVATIVE OF TRIFLIC ACID	)	
	)	
National Phase of	)	
International Appln. No. PCT/FR03/00889	)	
International Filing Date: March 20, 2003	)	
Earliest Priority Date: March 26, 2002	)	

**FIRST INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98. Applicants request consideration of the documents listed on the accompanying Form PTO-1449. These documents are cited in the English-language International Search Report (also enclosed) and/or in the instant specification, or they are English-language counterparts of cited documents.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

24 SEP 2004

All of the cited documents are in English except WO 01/44349 and FR 2303040. For both WO 01/44349 and FR 2303040, the English-language ISR serves as a statement of relevance; in addition, U.S. counterparts Bordone et al. U.S. 2003/0109659 and Siefken USP 4,020,043 are cited to serve as English translations.

This statement and accompanying Form PTO-1449 and documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these papers are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

It is respectfully requested that the Examiner consider this statement and cited documents and that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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Date September 24, 2004

By:

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# **FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Attorney Docket Number

Fernande Boisson et al.

022702-105

10/509071  
DT09 Rec'd PCT/PTO 24 SEP 2004

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	2003/0109659	A1	Bordone et al.	06-12-2003
	4,448,927		Falender et al.	05-15-1984
	4,020,043		Siefken	04-26-1977
	4,222,952		Vick	09-16-1980
	5,223,595		Stepp et al.	06-29-1993
	2,676,182		Daudt et al.	04-20-1954
	2,814,601		Currie et al.	11-26-1957
	2,857,356		Goodwin, Jr.	10-21-1958
	4,707,531		Shirahata	11-17-1987
	4,774,310		Butler	09-27-1988
	5,494,979		Ebbrecht et al.	02-27-1996
	5,510,430		Rubinsztajn et al.	04-23-1996
	4,929,691		Fillmore et al.	05-29-1990

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited in Spec
	01/44349	A1	WO	06-21-2001	X			X			
	2 303 040		FR	10-01-1976	X			X			
	0 390 083	B1	EP	10-03-1990				X			

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	Koppel et al., <i>J. Am. Chem. Soc.</i> , 116, 3047-3057 (1994), published by American Chemical Society, Washington, D.C.

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.